

# Data-driven optimisation framework for assessing energy and emission saving potentials in foundation industries

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# Project details

- **Project title: Data-driven optimisation framework for assessing energy and emission saving potentials in foundation industries**
- **Industry Project Partner: Palm Paper Limited**
- **Project duration: 6 months**
  
- **Aim: Quantifying the potentials for energy and emission savings optimising energy performance**
- **Objectives:**
  - **01: Data analysis and processing**
  - **02: Identification of benchmark representative production activities**
  - **03: Development of a data-driven modelling framework**
  - **04: Formulation of the optimisation problem**

# Net-Zero and industrial energy

- Industry energy consumption represents almost **40%** of current global total final consumption and is still **dominated by fossil fuels**
- The IEA predicts that **efficiency increases** will prevent 1Gt of carbon emissions by 2030 alone
- **Foundation industries** provide a **large potential** to improve both energy use and production efficiency
- Concerns over climate change are likely to **make inefficiency and high emissions increasingly serious business liabilities**

**Optimised and energy-efficient production can give energy savings from 10% to 50%**

– International energy Agency (IEA), *World Energy Outlook 2021*

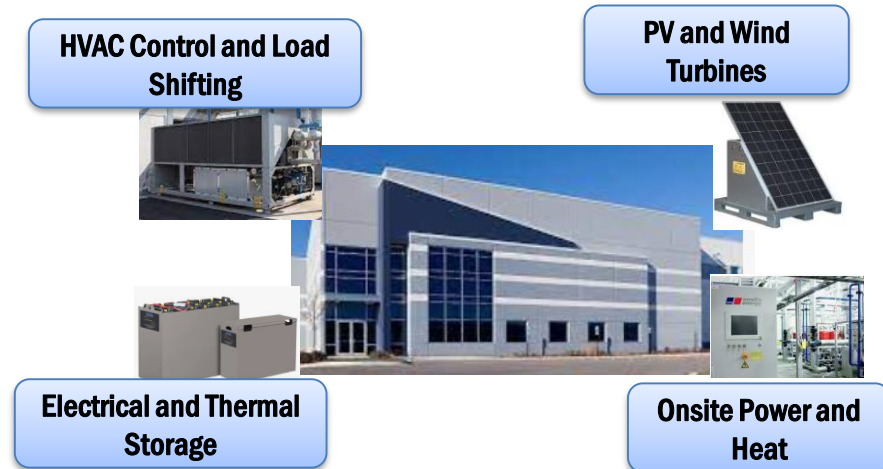
– J. Rissman, et al., *Technologies and policies to decarbonize global industry: Review and assessment of mitigation drivers through 2070*, Applied Energy, 2020

– W. Cai et al., A review on methods of energy performance improvement towards sustainable manufacturing from perspectives of energy monitoring, evaluation, optimization and benchmarking, Renewable and Sustainable Energy Reviews, 2022

# Energy management in future industry

- Combining energy management with all-important production targets requires new research into systematic, decision-making frameworks
- This becomes more important as
  - ✓ factories install renewable generation
  - ✓ rewards for grid support are available

## Intelligent industries



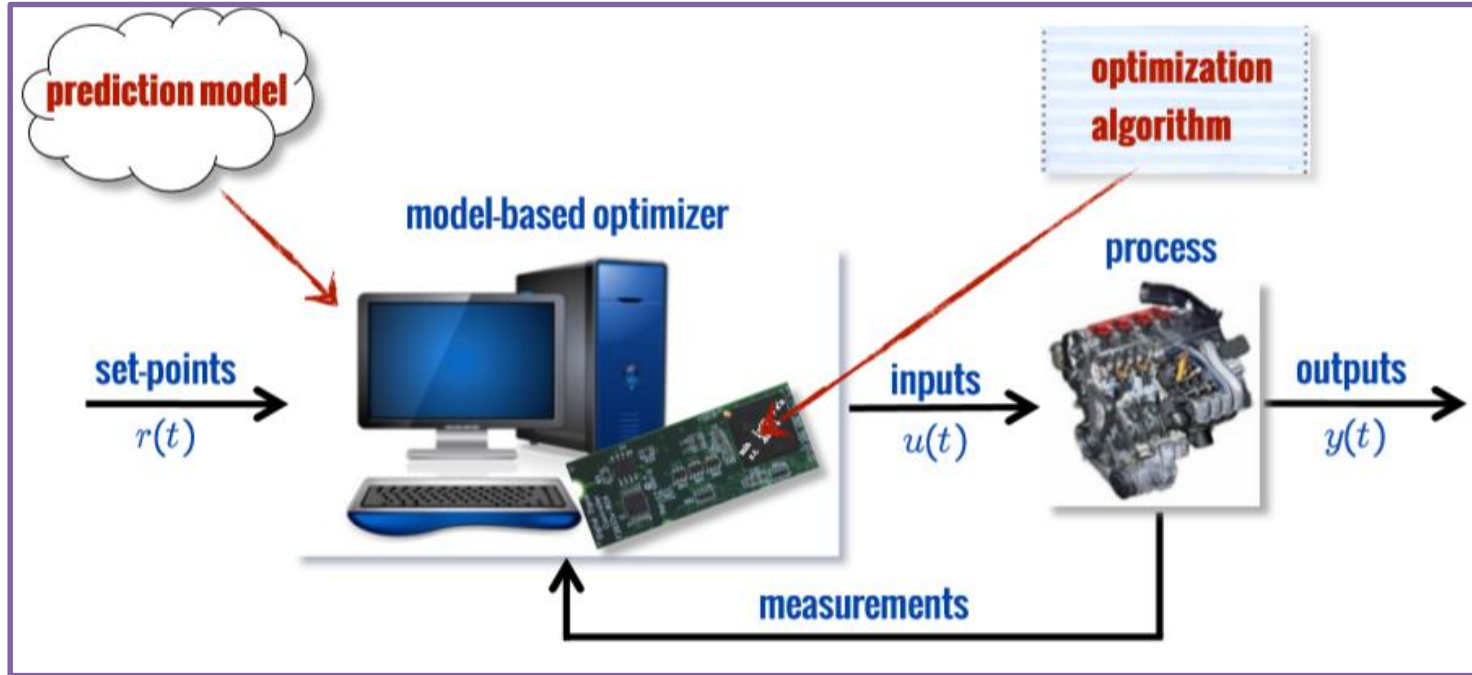
# Challenges and contributions

- Unavailable, inaccurate model, high-order highly nonlinear dynamics
- Handling energy resources along with standard operational constraints and objectives
- Multiple non-production objectives:
  - ✓ Costs and emissions minimization
  - ✓ Energy efficiency improvement
  - ✓ Effective service provision



- **Advanced optimisation framework as decision-support system**
- **Data-driven modelling**

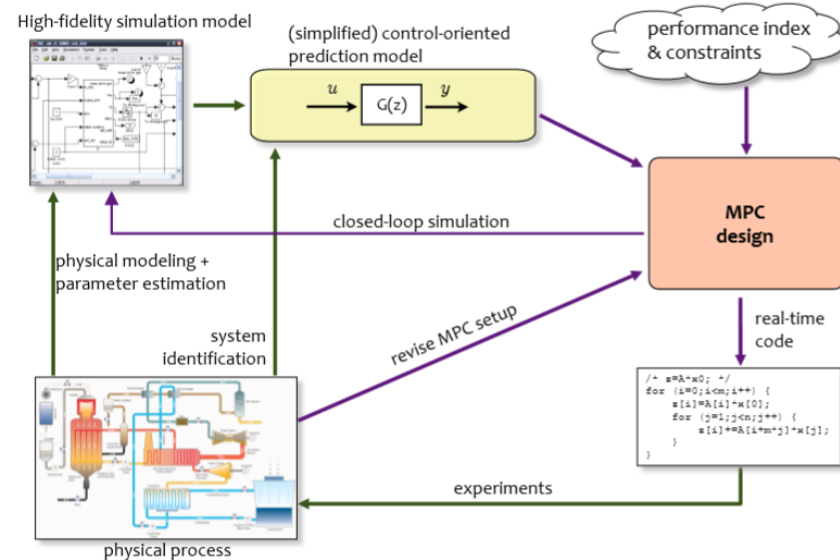
# Model Predictive Control (MPC)



MPC uses a dynamical model of the process/system to predict its future evolution and choose the “best” control action

# Why Model Predictive Control

- Systematic use of data, forecasts and measurements
- Based on the future behavior of the system
- Handling of constraints
- Use of a feedback mechanism
- Economic, social and environmental objectives
- Mature code and development tools
- Flexible and advanced control design



**MPC design flow**

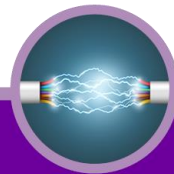
```

/* a=λ-x0; */
for (i=0;i<n;i++) {
  a[i]=A[i]*x[i];
  for (j=1;j<n;j++) {
    a[i]=A[i+m*j]*x[j];
  }
}

```

# Part I: Data-driven modelling

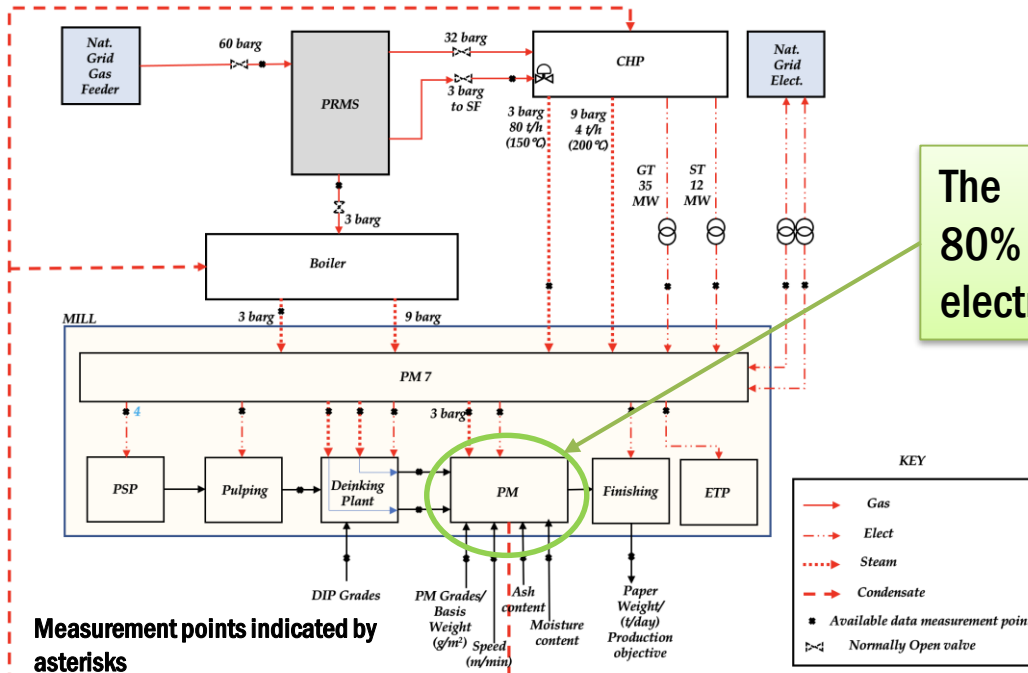
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# Paper industry

- Paper production company producing newsprints and news paper grades from completely recycled materials
- Production relying on natural gas and electricity

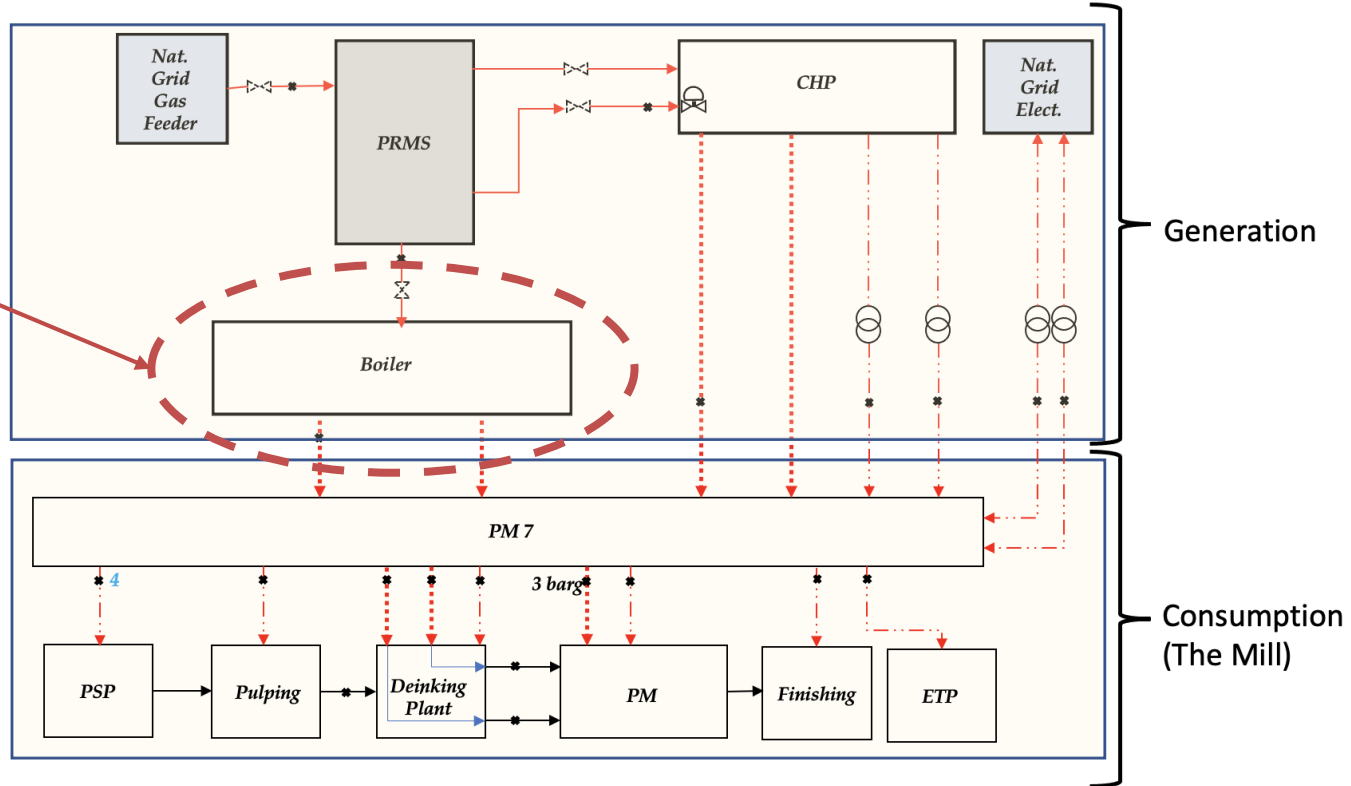


The Paper Machine (PM) consumes between 80% to 90% of total steam and 58%-70% of electricity in the mill

# Identification of sub-systems

Main components:

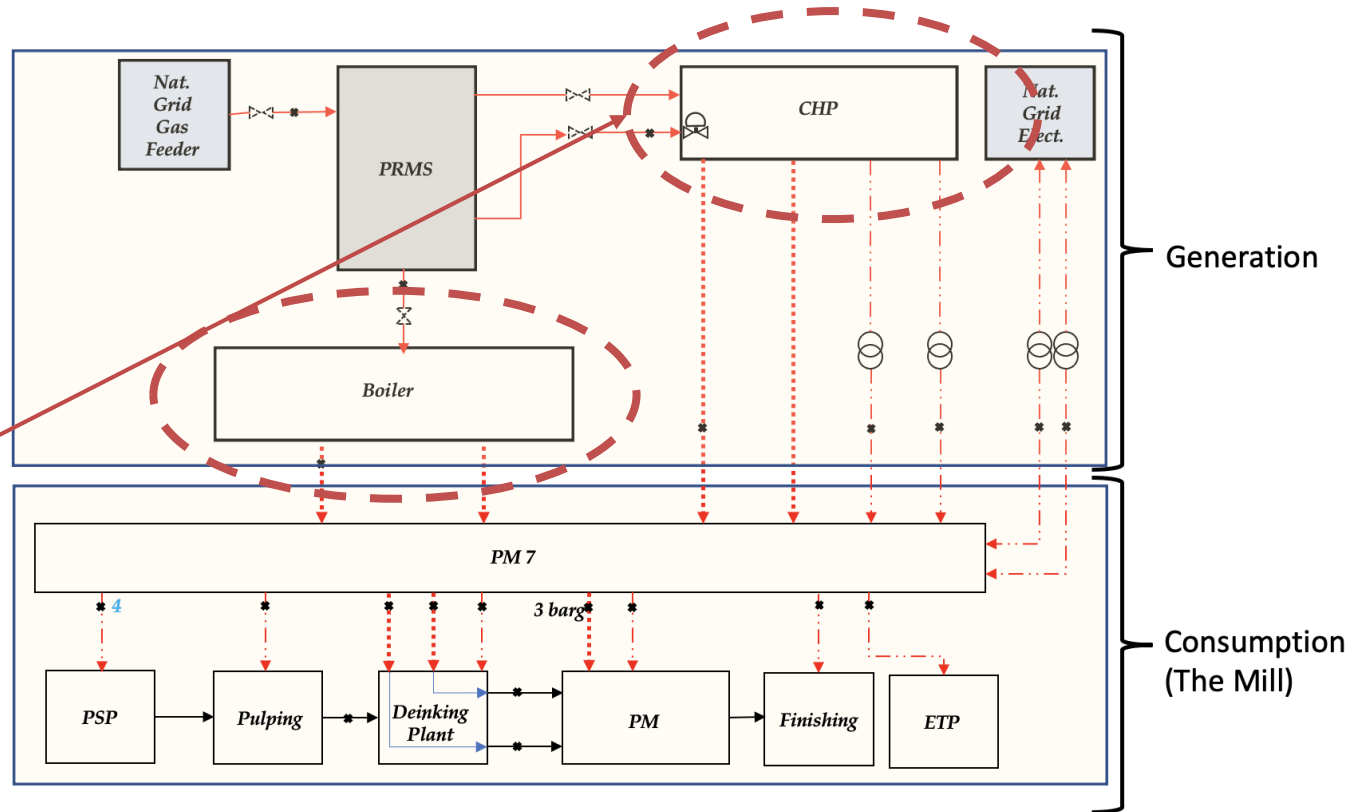
✓ Boiler



# Identification of sub-systems

## Main components:

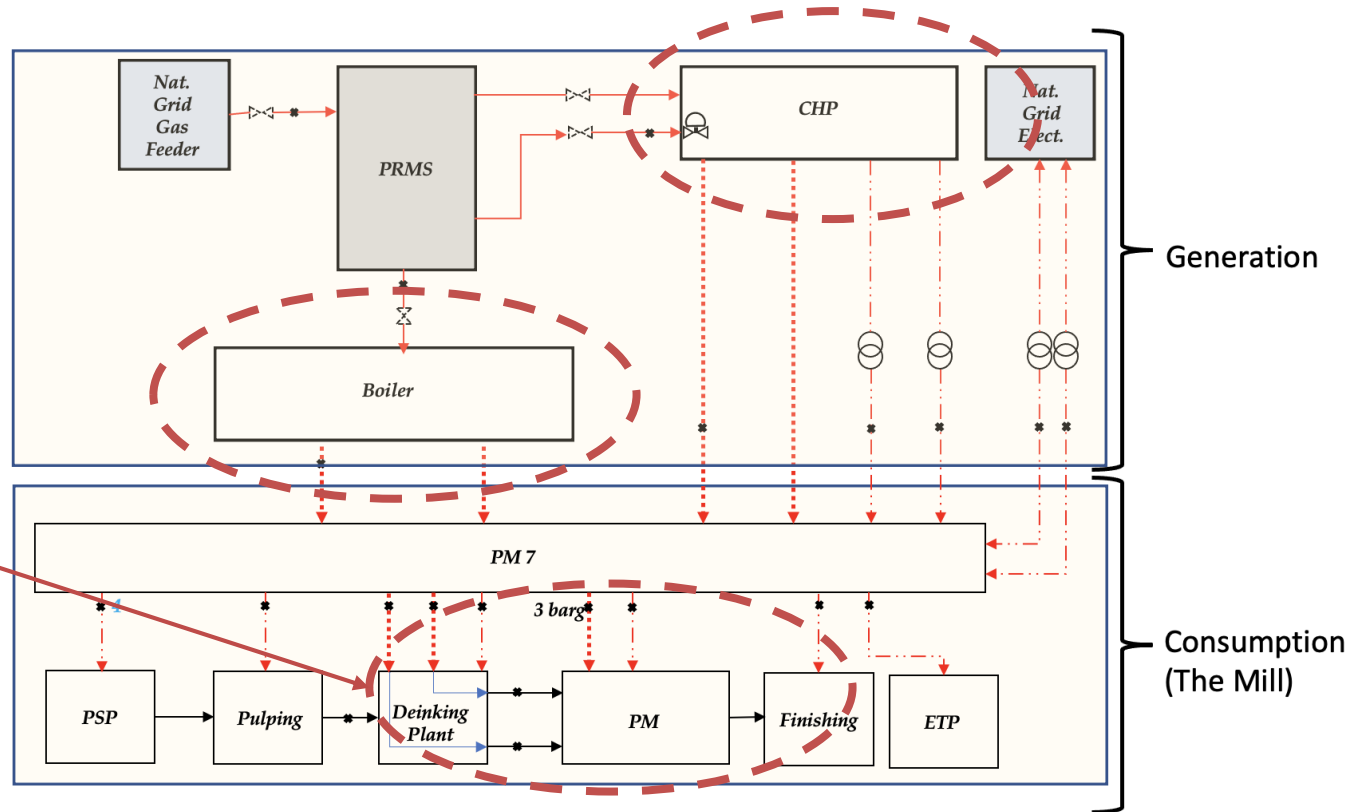
- ✓ Boiler
- ✓ Combined heat and power (CHP) plant



# Identification of sub-systems

## Main components:

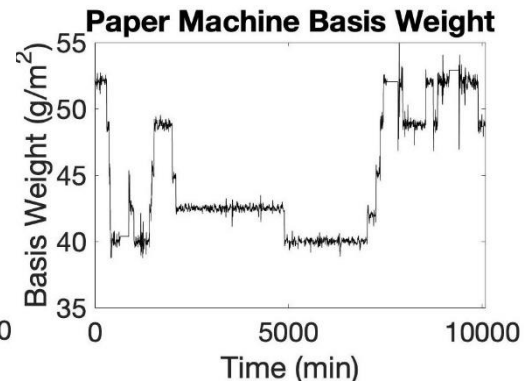
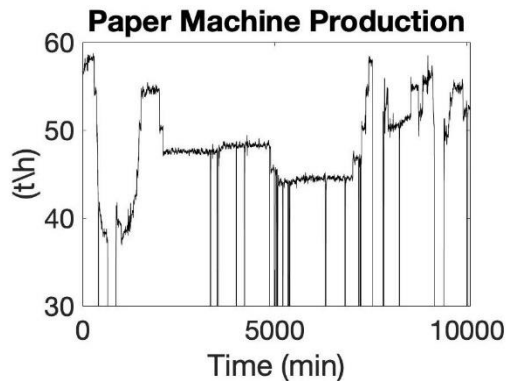
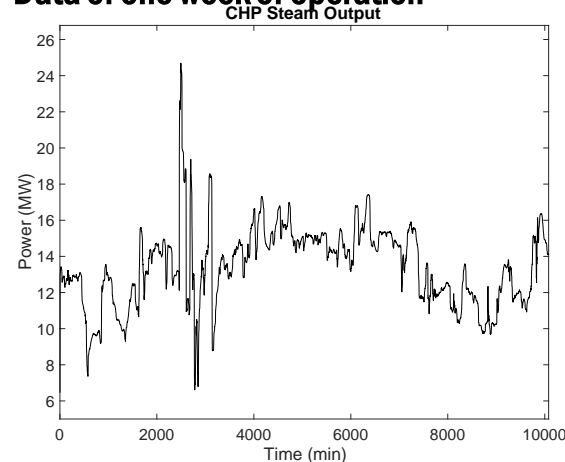
- ✓ Boiler
- ✓ Combined heat and power (CHP) plant
- ✓ Paper machine (PM)



# Datasets

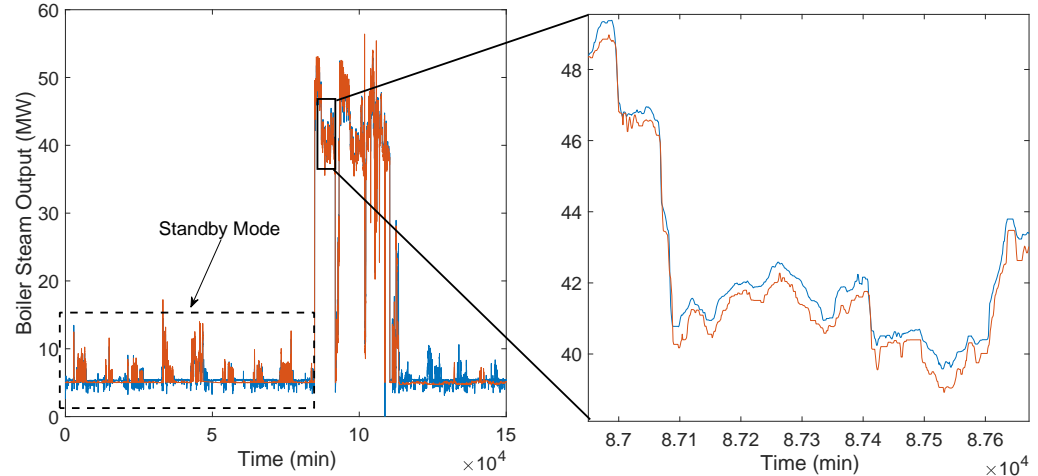
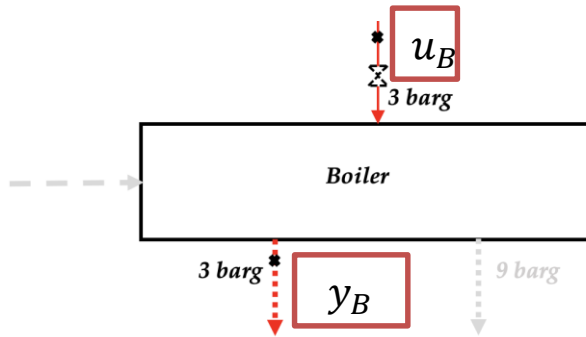
- A yearlong data collected and sampled every minute
- Available data in their raw and unprocessed form
- Necessary to pre-process data, e.g., :
  - ✓ Resampling
  - ✓ Noise reduction
  - ✓ Elimination of outliers
  - ✓ Detrending to remove data offsets

**Data of one week of operation**



# Boiler model

- Type of model: *static (least square estimation)*
- Input ( $u_B$ ) = gas supply from the boiler pressure reduction and metering station (BPRMS)
- Output ( $y_B$ ) = steam flow at 3 barg (9 barg pressure output negligible and unavailable)

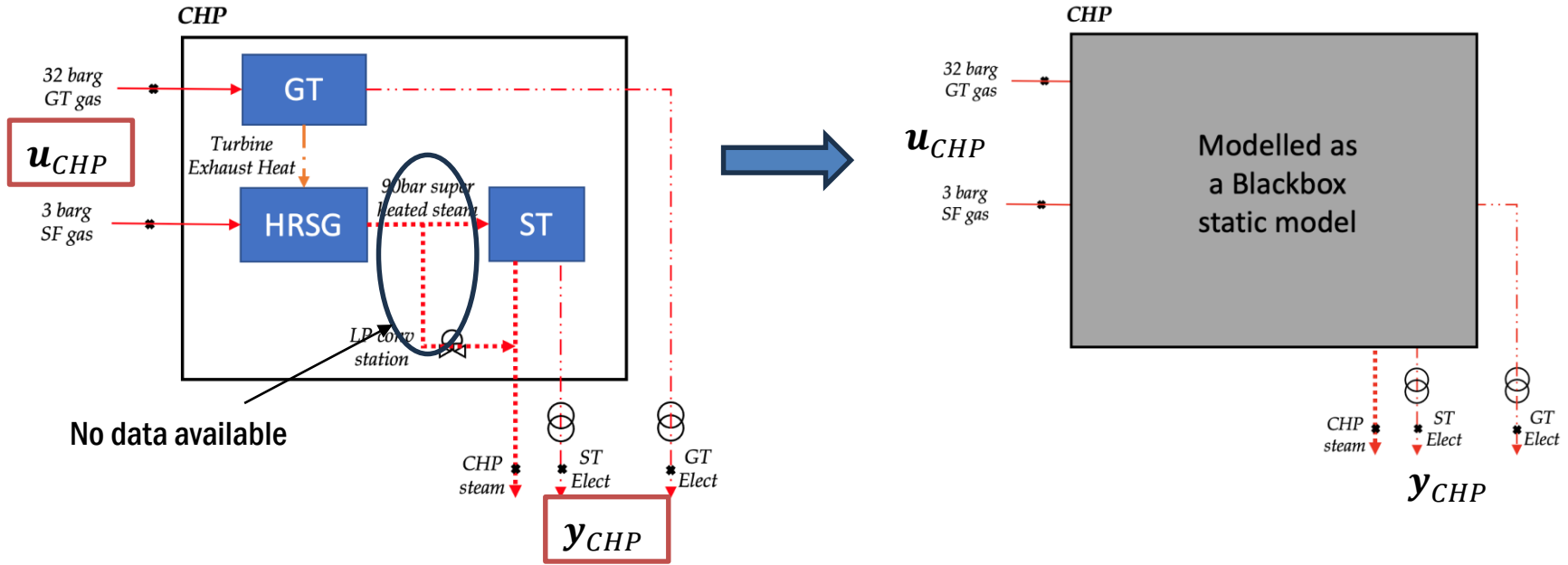


**Validation: Fit % = 93.6 %**

- Model:  $y_B(t) = E_B u_B(t) + v_B(t)$
- ON Mode:  $E_B = 75.47\%$   $v_B(t) = 1.0698$
- Standby Mode:  $E_B = 3.01\%$   $v_B(t) = 5.03$

# CHP model

- Type of model: *static (least square estimation)*
- Inputs ( $\mathbf{u}_{CHP}$ ): gas supply to gas turbine and supplementary firing gas supply
- Outputs ( $\mathbf{y}_{CHP}$ ): CHP steam, CHP Gas Turbine electrical power and CHP Steam Turbine electrical power



# CHP model

- Model:  $\mathbf{y}_{CHP} = \mathbf{E}_{CHP} \mathbf{u}_{CHP} + \mathbf{v}_{CHP}$

- $\mathbf{E}_{CHP} = \begin{bmatrix} -0.2009 & 0.4411 \\ 0.1076 & 0.2158 \\ 0.3894 & -0.0064 \end{bmatrix}, \mathbf{v}_{CHP} = \begin{bmatrix} 27.4471 \\ -4.1602 \\ -7.1348 \end{bmatrix}$

- $\mathbf{u}_{CHP} = \begin{bmatrix} u_{CHP}^1 \\ u_{CHP}^2 \end{bmatrix} = \begin{bmatrix} GT \text{ gas supply} \\ SF \text{ gas supply} \end{bmatrix}$

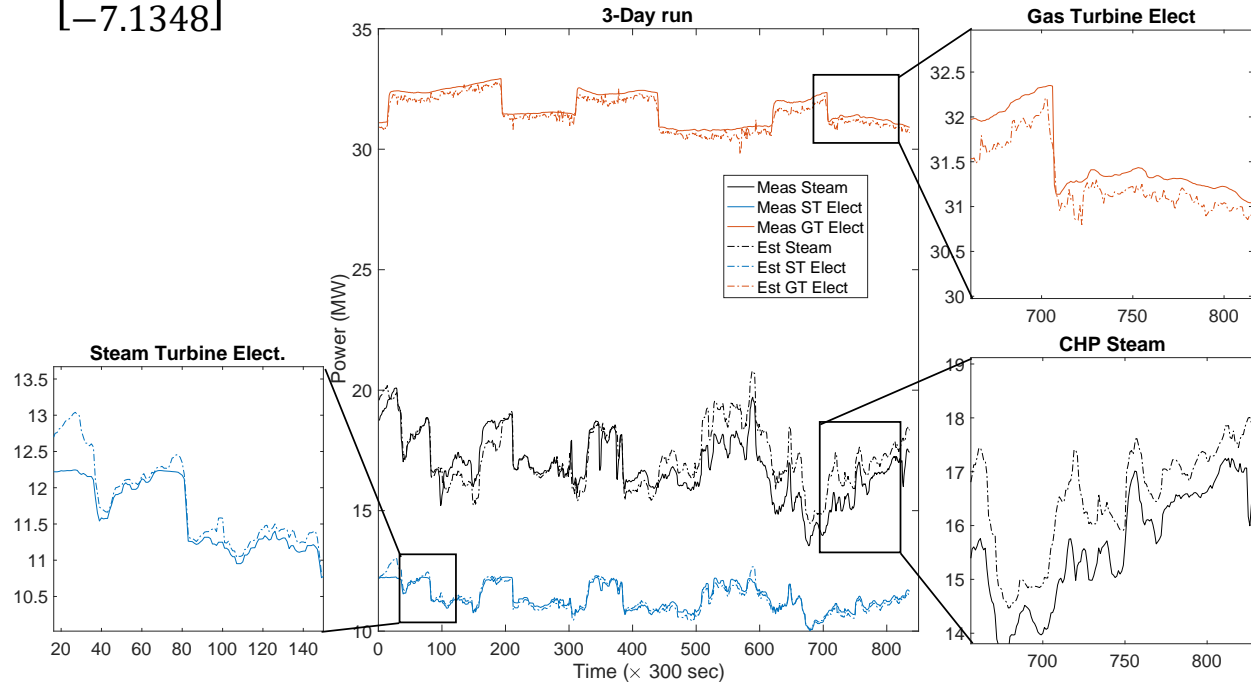
- $\mathbf{y}_{CHP} = \begin{bmatrix} y_{CHP}^1 \\ y_{CHP}^2 \\ y_{CHP}^3 \end{bmatrix} = \begin{bmatrix} CHP \text{ Steam} \\ CHP \text{ ST Elect} \\ CHP \text{ GT Elect} \end{bmatrix}$

## Validation:

Steam Fit % = 56.87 %

ST Elect Fit % = 87.86 %

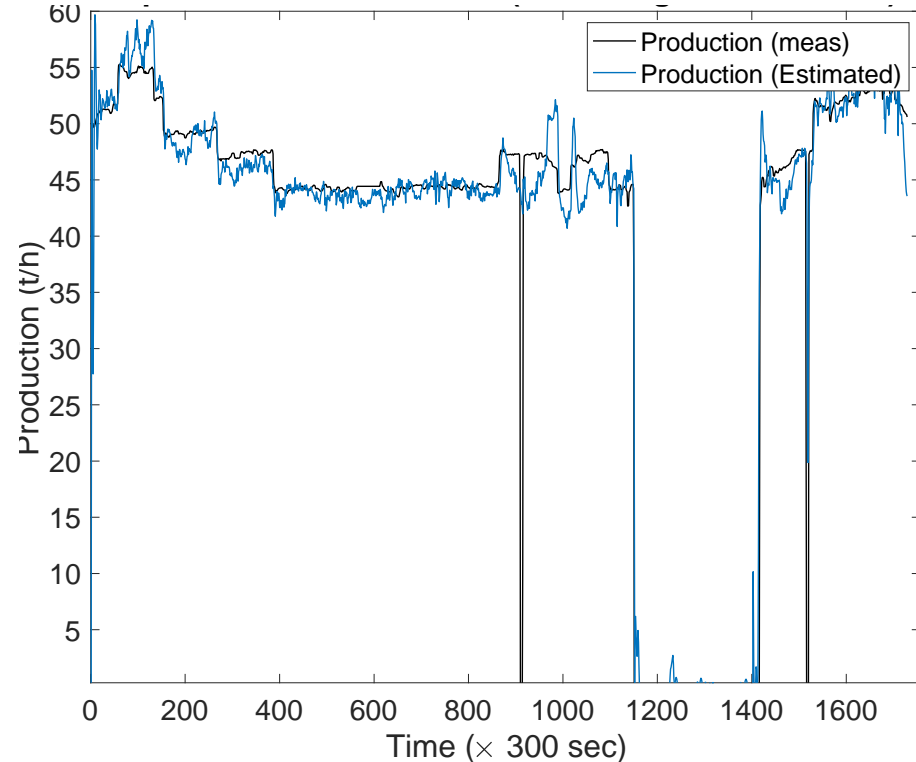
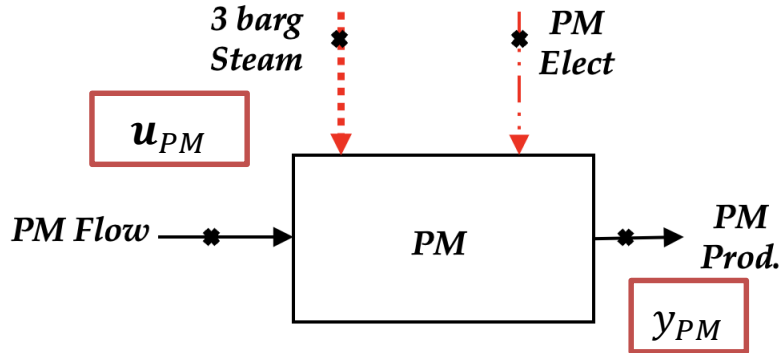
GT Elect Fit % = 83.42 %





# Paper Machine model

- Type of model: *dynamic state space (subspace identification)*
- Inputs ( $\mathbf{u}_{PM}$ ): steam, electrical power and material flow
- Outputs ( $y_{PM}$ ): paper machine production (t/h)



**Validation: Fit % = 94.73%**

# Paper Machine model

- **Model:**  $\mathbf{x}_{PM}(t + 1) = \mathbf{A} \mathbf{x}_{PM}(t) + \mathbf{B} \mathbf{u}_{PM}(t) + \mathbf{w}_{PM}(t)$

$$y_{PM}(t) = \mathbf{C} \mathbf{x}_{PM}(t) + v_{PM}(t)$$

- **Model order:** 4<sup>th</sup> order

- $y_{PM}(t) = \text{PM Production}$

- $\mathbf{u}_{PM}(t) = \begin{bmatrix} u_{PM}^1(t) \\ u_{PM}^2(t) \\ u_{PM}^3(t) \end{bmatrix} = \begin{bmatrix} \text{PM Elect} \\ \text{PM Steam} \\ \text{Material Flow} \end{bmatrix}$

- $u_{PM}^1(t) = y_{CHP}^2(t) + y_{CHP}^3(t) + u_{grid}(t)$

- $u_{PM}^2(t) = a_{CHP} y_{CHP}^1(t) + a_B y_B(t)$

- $a_{CHP} = 0.6346$

- $a_B = 3.1259$

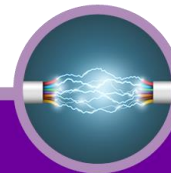
- $\mathbf{A} = \begin{bmatrix} 0.694 & -0.263 & -0.250 & -0.061 \\ 0.404 & 0.615 & 0.714 & 0.043 \\ 0.057 & 0.145 & 0.130 & 0.720 \\ 0.030 & -0.207 & -0.291 & 0.300 \end{bmatrix}$

- $\mathbf{B} = \begin{bmatrix} 0.006 & 0.010 & -0.009 \\ 0.004 & -0.021 & 0.063 \\ 0.042 & 0.029 & -0.064 \\ -0.016 & -0.008 & -0.001 \end{bmatrix}$

- $\mathbf{C} = [111.5 \quad -12.76 \quad -39.78 \quad -49.63]$

## Part II: MPC problem formulation

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# MPC formulation

Minimise energy costs

Tracking production  
objective [ $y_{PM}^R(t)$ ]

Cost functions

$$\min_u \sum_{t=0}^{N-1} \{ c_{gas}(t)[u_B(t) + u_{CHP}^1(t) + u_{CHP}^2(t)] + c_{elect}(t)u_{grid}(t) + \gamma \|\alpha(t)\|_2^2 \}$$

*s. to*

$$y_B(t) = E_B u_B(t) + v_B(t)$$

$$y_{CHP}(t) = E_{CHP} \mathbf{u}_{CHP}(t) + v_{CHP}(t)$$

$$\mathbf{x}_{PM}(t+1) = \mathbf{A} \mathbf{x}_{PM}(t) + \mathbf{B} u_{PM}(t) + \mathbf{w}_{PM}(t)$$

$$y_{PM}(t) = \mathbf{C} \mathbf{x}_{PM}(t) + v_{PM}(t)$$

$$\underline{\mathbf{u}}_{CHP} \leq \mathbf{u}_{CHP}(t) \leq \bar{\mathbf{u}}_{CHP}, \underline{\mathbf{y}}_{CHP} \leq \mathbf{y}_{CHP}(t) \leq \bar{\mathbf{y}}_{CHP}$$

$$\underline{u}_B \leq u_B(t) \leq \bar{u}_B, \underline{y}_B \leq y_B(t) \leq \bar{y}_B$$

$$-\bar{u}_{grid} \leq u_{grid}(t) \leq \bar{u}_{grid}$$

$$-\alpha(t) + y_{PM}^R(t) \leq y_{PM}(t) \leq \alpha(t) + y_{PM}^R(t)$$

Boiler model

CHP model

PM model

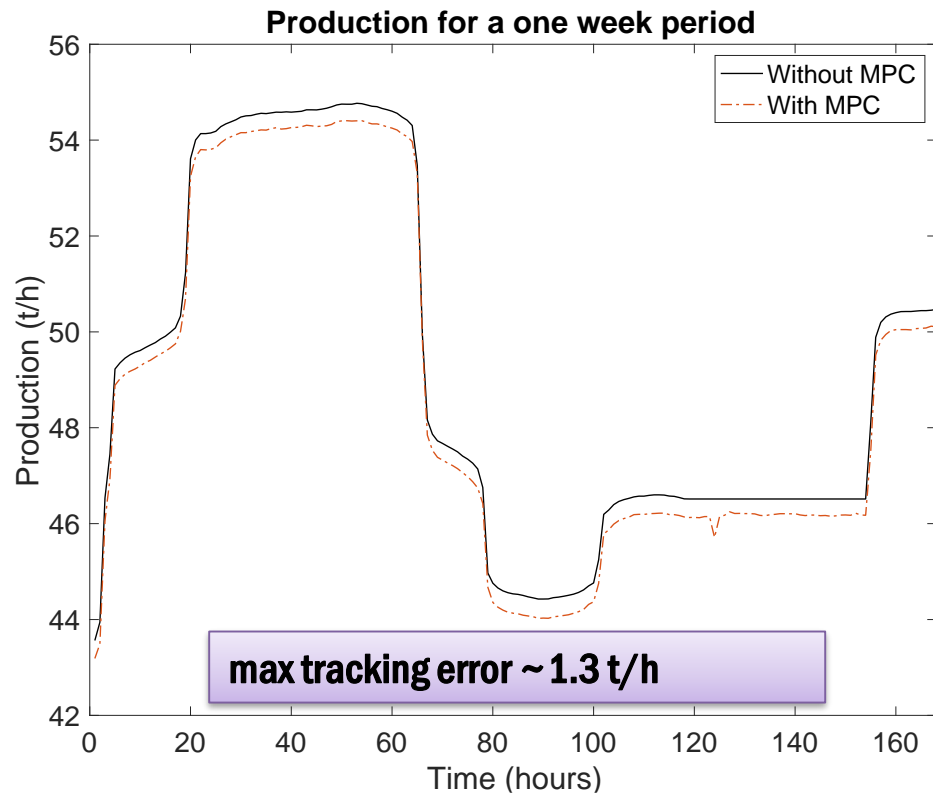
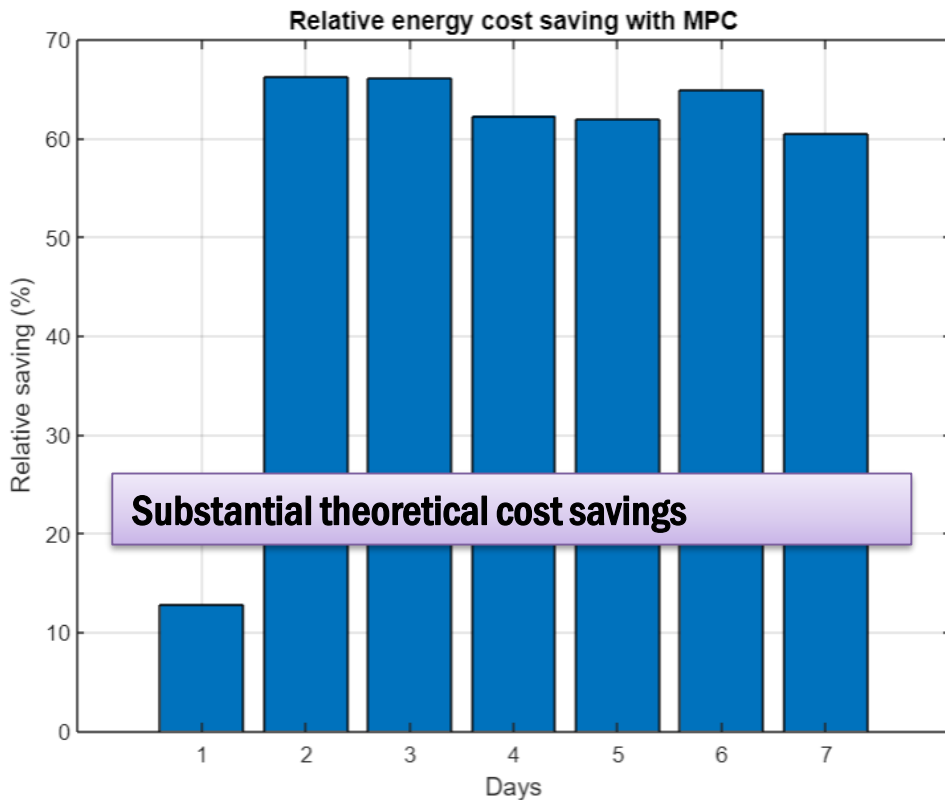
Bounds/Capacity limits

Tracking ( $\alpha$  = slack variable)

Constraints

# Energy costs and tracking

3 hours prediction horizon - 5 minutes sampling interval



# Conclusions

- Optimisation-based control approaches have the high potential to improve the energy efficiency and minimise costs and emissions
- MPC is likely to become a standard for energy & power applications
- MPC is a promising methodology for energy management and decision-making in industries
  - ✓ cost-effective, environmentally friendly and energy efficient production plans
  - ✓ robustness against several sources of uncertainty, including the communication delays
  - ✓ non-standard objectives, such as grid service provision, can be included



The University of Manchester

# Thank You!

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